



EMMESSAR BIOTECH & NUTRITION LIMITED

29, Kamer Building, 4th floor, 38, Cawasji Patel Street, Fort, Mumbai – 400 001
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Investors Grievance Email: investors@ebnl.org CIN No. L24110MH1992PLC065942

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2023. *(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Priyanka O. Sharma, Compliance Officer of Emmessar Biotech & Nutrition Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 number of event/s during the quarter ended and has captured 1 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: **NIL**

For Emmessar Biotech & Nutrition Limited

Priyanka O. Sharma
Company Secretary & Compliance Officer
ICSI Membership No.: A52518

Note: The attached certificate should cover events which have been disclosed by the listed entity to the stock exchange so that the secretarial auditor will not be exposed to UPSI.

SNo	Regulation	Particulars	Submitted on
01	Reg. 30 (LODR)	Newspaper Publication UAFR for quarter ended Sept 2023	12-12-2023
02	Reg 9 2015 SEBI PIT	Trading Window Closure	28-12-2023
03	Reg. 57(5)	Intimation at the end of the quarter.	02-01-2024
04	Reg. 31(i)(b)	Share Holding Pattern	09-01-2024
05	Reg. 74(5)	Confirmation Certificate by RTA	10-01-2024
06	Reg. 13(3)	Investors Grievance	10-01-2024
07	Reg. 76	Reconciliation of Share Capital Audit	11-01-2024
08	Reg. 27(2)	Corporate Governance	11-01-2024
09	Reg. 30/33	Financial Results for quarter ended 31-12-2023 [intimation in advance]	19-01-2024

Dt. 19/01/2024